

I am a computer scientist interested in problems that require collaboration between humans and artificial intelligence (AI) systems. I am particularly motivated to build intelligent technology for social good and public welfare. My primary training is in designing intelligent agents with complex behavior using cognitive architectures [1] that have components implementing a variety of reasoning and learning methods. I leverage ideas from social sciences - cognitive science, psycholinguistics, and economics - to design human-AI collaborative systems. My work is interdisciplinary and has been published at venues for research on artificial intelligence [2, 3, 4, 5], human cognition [6, 7], cognitive systems [8, 9, 10], AI and Society [11], human-computer interaction (HCI) [12, 13], and medical informatics & engineering [14, 15, 16]. My research has been supported by government - ARPA-E, AFOSR, DARPA - and commercial - Xerox, Kaiser Permanente - entities.

I was invited to the Ernst Strungmann Forum 2017 to collaboratively define a new, inter-disciplinary field of enquiry - Interactive Task Learning [17]. My work on using cognitive psychology to design interactive health agents was highlighted in the 2017 NSF Workshop on Interactive Cognitive Assistants [18] where I was invited to collaborate with other leading scientists to develop a roadmap for robust interactive intelligence. At AAAI 2018, John Laird and I won the Blue Sky Award for proposing how high-level reasoning mechanisms can be integrated with low-level learning computations in a single system [5].

1 Research Context, Vision, and Experience

The recent advances in AI and machine learning (ML) have been mostly driven through computational and statistical breakthroughs. As tremendous strides are made on developing efficient algorithms which can process voluminous data, it is valuable to study how humans and machines can collaborate effectively to address complex societal challenges. To become effective collaborators, intelligent systems must be imparted with capabilities to model, reason, and learn about their human partner. However, the science of modeling other agents in AI systems is very limited in its scope [19]. Largely, human modeling research in AI originates in game domains where humans are modeled as opponents with fixed objective functions. These models are not sufficient for representing dynamic and evolving human behavior, decision making, and learning. Currently, there is a dearth of human model-based algorithmic methods that can be employed in developing intelligent solutions [20].

In my research, I seek to address this gap by bringing insights from social sciences into the design of AI systems, effectively putting reasoning about humans at the center of intelligent system development. My research aims to answer several key questions about achieving a theoretic and systems-level integration of social sciences and AI & ML systems. First, some theories about human behavior are based on abstract constructs and are not computational (e.g. goal setting [21]). My research explores how these theories can be used as structural frameworks to guide development of novel computational methods. Second, several social science theories that are computational (e.g; choice modeling [22]) are *descriptive*, i.e, they summarize observed human behavior. To be useful in AI systems, models must be *prescriptive* i.e, they should characterize how human decisions and behavior evolve with situational and informational changes. My research builds upon the descriptive theories to build prescriptive models that can be integrated in AI & ML systems. Finally, identifying the right set of metrics and evaluation paradigms is critical to ensure progress on any research agenda. In my research, I relinquish the computation-centric metrics (such as accuracy, efficiency) and adopt human-centric (flexibility, safety, acceptability) metrics and experimental methods from social sciences.

Interactive Task Learning My doctoral research as well as the ongoing effort on **DARPA GAILA** study how to design intelligent agents so that they can dynamically extend their domain knowledge and skills through natural interactions with human collaborators. The capability of learning new domain concepts and task knowledge online, post deployment, is critical to the adoption of complex intelligent agents such as general-purpose robots. At the University of Michigan, I contributed to the development of Rosie, a cognitive robotic framework for interactive learning. It is built with Soar [23] and was the first in the literature to demonstrate interactive learning of a variety of concepts in a single, integrated agent architecture. We introduced a new paradigm for learning domain concepts [10] and task knowledge [3] from mixed-initiative, task-oriented, dialog [7]. Our research has led to an emerging, inter-disciplinary, scientific enquiry on Interactive Task Learning (ITL [17]).

Natural, flexible interaction with human trainers is a core capability of any ITL system. To this end, we leveraged an abstract theory from psycholinguistics - the Indexical Hypothesis [24] - to develop a computational

model of comprehension [9] for complex agent architectures. This model grounds language semantics by using non-linguistic contexts (cognitive, attentional, and task-oriented) to generate and disambiguate meaning representations. Currently, under DARPA GAILA, I am leading work that extends this approach [8] to learn language semantics through cognitive models of analogical reasoning and generalization [25]. A core commitment of this effort is end-to-end behavior which motivates research on how different aspects of AI including perception and actuation, human-agent interaction, language processing, and learning interact and influence each other. With John Laird, I won the 2018 Blue Sky award [5] for proposing a framework to integrate low-level learning computations with high-level reasoning strategies.

Coaching Agents for Health Behavior Change Health behaviors - exercise and nutrition - account for an estimated 60% of the risks associated with chronic illnesses such as diabetes and cardiovascular disease. As the at-risk population, the challenge of developing and disseminating effective methods for improving health behaviors is becoming important. Intelligent adaptive systems present a unique opportunity in supporting healthy behaviors at scale. My research led to one of first demonstrations of *long-term* interactive, adaptive behavior that was evaluated with human participants in *ecological* settings [12, 13, 14, 15].

Under the NSF/NIH Smart and Connected Health program, we developed long-living, interactive, coaching agents that helped people pursue relevant exercise and nutritional goals and develop healthy behaviors. In [Mohan et al. \[2, 12\]](#), we proposed a computational and adaptive formulation of the abstract goal setting theory from behavioral psychology [21]. We designed an interactive coaching agent that was deployed through a mobile application and helped people with walking exercises. The agent used a parameterized, prescriptive model of growth in aerobic capability using principles of clinical practice in conjunction with AI heuristics-based scheduling methods. Through the mobile interface, the coaching agent assessed a human trainee's current exercise capability, assigned exercise goals, and revised them based on the trainee's performance. We proposed a novel evaluation paradigm for long-term intelligent interactive agents [12, 16]. We engaged with domain experts to determine if the agent's coaching strategy aligned with theirs along the dimensions of safety, acceptability, and likelihood of successful completion. We, then, studied if the coaching agent could promote safe and effective behavior change by deploying it for 6 weeks in a clinically relevant population of 21 people.

Extending these ideas further, [Mohan \[13\]](#) leverages the Common Model of Cognition [23] as an integrative framework for explaining several behavior change theories from psychology and uses it to provide design recommendations for interactive coaching systems. This line of research has been published at venues for medical informatics [16, 14, 15] in addition to being highlighted as a key technical advancement on the roadmap to robust interactive intelligence [18] at an NSF workshop.

Influencing Individual Behavior for Sustainable Transportation Transportation is one of the largest consumers of energy in the world - in the United States, it accounted for 29% of energy consumption in 2016. However, recent introduction of new transit services - bike/scooter sharing, carpools, car sharing etc. in addition to public transit - has created several opportunities for reducing energy consumption. ARPA-E TransNet aimed at developing solutions that incentivize people to adopt more sustainable modes of transit, greatly reducing the energy consumption in personal transportation. To this end, my research demonstrates how various theoretical insights and methods from human-factors research, AI, economics, and transportation can be brought together in a single comprehensive system for an effective human-AI collaborative solution.

In [Mohan et al. \[4, 11\]](#), we introduced the traveller influence problem for sustainable transportation planning and recommendation. We began with identifying what factors underlie people's transit-related choices through a set of semi-structured interviews and survey studies. Then, we leveraged descriptive models in economics on rational choice and preference [22] to develop a prescriptive model for traveler mode adoption. We demonstrated that ML approaches can be used to estimate the model parameters with a large, publicly available dataset. Next, we validated our proposed traveler mode adoption model through stated preference methods from behavioral economics. We demonstrated that mode adoption model can be used to bias plan selection an AI multi-modal planning framework to generate personalized, energy-efficient plans for each individual traveller. Finally, we engaged with transportation modeling research to simulate the impact of applying our approach in Los Angeles demonstrating that it could achieve small but significant energy and time savings.

2 Future Directions

I am interested in designing interactive AI systems that can collaborate with humans in a variety of roles. There are two fundamental scientific advances that are critical to achieving this goal: first, developing a set of computational & prescriptive models of human decision making, behavior, and learning that can be integrated with AI & ML algorithms; and second, developing agent architectures that support collaborative, task-oriented, long-term behavior. My background in designing complex AI systems in addition to experience in modeling human behavior has built a strong foundation for me to successfully develop this agenda upon.

Modeling Humans in Collaborative AI Systems Tremendous advances in AI have been enabled by the computational modeling of our physical world. It would have been impossible to develop computational algorithms that exploit this knowledge without languages (mathematical, qualitative, and quantitative) that describe how our physical world changes and evolves. Along similar lines, effective and generalizable human-agent collaborative solutions need explicit, causal models of how human behavior and learning adapts in evolving environments. Competent health behavior coaching agents must diagnose a trainee's behavior performance to identify their individual challenges and adapt their coaching strategy to suit each trainee's needs. Similarly, an effective ITL system should be able to exploit the full range of information in varying human teaching strategies.

My research will contribute hybrid modeling methods for human behavior and will evaluate their efficacy by integrating them in AI systems. These methods will use the theoretical understanding of human behavior (such as the Common Model of Cognition [1] or the Belief-Desire-Intention framework [26]) to provide rule-based structural scaffolds upon which quantitative information can be overlaid. While the structural scaffolds ensure explicability and diagnosability of the models, the quantitative information reflects the stochasticity arising from individual variability as well as non-modeled factors. Models will be causal and prescriptive laying out the space of future outcomes and enable evaluation of those outcomes in AI systems. In addition to the usecases I discussed previously, these models can be integrated with agent-based modeling frameworks to greatly enhance counterfactual analyses of complex social systems (e.g, public policy analysis [27]).

Complex Agent Architectures for Collaboration My research will advance the science of complex agent architectures. Over several decades, AI & ML research has produced a variety of computational methods that capture some aspect of intelligence. Often they have complimentary strengths and weaknesses. I will investigate how different computational methods can be brought together in a single comprehensive hybrid AI system that has robust, complex, collaborative behavior. Through this research, I will contribute to the legacy of cognitive architectures such as Soar [23] and Companions [28] that are the best examples of integration of multiple learning, memory, and reasoning algorithms. In Newell's organization of complex behavior [29], I will study the computational underpinnings of the social band and how it interacts with the cognitive and rational bands.

I am greatly motivated by learning from social interactions - one of the most fundamental forms of learning in the human society. Parents, teachers, experts enable effective and efficient learning in children, students, and novices. In these interactions, the facilitator/trainer and the primary learner form a joint system, with the former helping the latter in achieving critical conditions of learning. I would like to study the human-agent collaborative learning dyad from a variety of perspectives. Continuing my ongoing research, I will develop cognitive agents that can learn novel domain concepts and task knowledge through natural interaction. Additionally, I would like to design teaching agents that can support humans in learning novel physical, procedural tasks such as repairing a machine or assembling a new artifact [30]. Through an augmented reality headset (e.g, HOLOLENS), the teaching agent has a shared view of the human trainee's world. It can observe the trainee's workspace through the camera and follow the trainee's task performance. If the trainee struggles to make progress towards their task goal, the teaching agent can provide relevant instruction. New HCI modalities have opened up exciting avenues and I will develop AI systems that use these modalities for novel human-AI collaborative behavior.

The recent successes of AI and ML are now accompanied with an ever increasing expectation of using those methods to support human goals in a variety of contexts. Intelligent solutions are being explored for complex social problems: from technology for improving health outcomes (NSF/NIH); computational methods for increasing energy efficiency (ARPA-E, DOE); to AI systems that advance human learning (NSF); and computational methods for social systems analysis (DARPA). Studying AI & ML algorithms in isolation will not lead us to effective

solutions for these challenging problems. Humans are key decision-makers in these ecosystems. An effective intelligent solution requires an inter-disciplinary approach and an understanding of how humans make decisions, what their goals and preferences are, and how to support their progress on their goals. My research will advance our understanding of the human-AI ecosystem towards developing effective collaborative intelligent systems.

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